



Attorney Docket No. 15437-0641

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: NYA

Kenneth W. Shirriff, et al.

Group Art Unit No.: NYA

Serial No.: 10/663,474

Examiner: NYA

Filed on: September 15, 2003

For: METHOD AND SYSTEM FOR EVENT  
NOTIFICATION

REVOCATION AND NEW APPOINTMENT OF  
POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned representative of Sun Microsystems, Inc., a Delaware corporation, having a principle place of business at 4150 Network Circle, Santa Clara, California 95054, owner by virtue of assignment of the above-identified patent application, hereby revokes any and all previous Powers of Attorney and appoints the following attorneys and/or agents to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

| <u>Name</u>               | <u>Registration No.</u> | <u>Name</u>            | <u>Registration No.</u> |
|---------------------------|-------------------------|------------------------|-------------------------|
| Anirma R. Gupta           | 38,275                  | Brian D. Hickman       | 35,894                  |
| Jeffrey L. Myers          | 44,252                  | Christopher J. Palermo | 42,056                  |
| Noreen A. Krall           | 39,734                  | Bobby K. Truong        | 37,499                  |
| Bernice B. Chen           | 42,403                  | Edward A. Becker       | 37,777                  |
| Andrew C. Chen            | 43,544                  | Marcel K. Bingham      | 42,327                  |
| Marilyn E. Glaubenskleee  | 35,521                  | Craig G. Holmes        | 44,770                  |
| Monica L. Ward            | 40,696                  | John D. Henkhaus       | 42,656                  |
| Sean P. Lewis             | 42,798                  | Christian A. Nicholes  | 50,266                  |
| Elaine K. Lee             | 41,936                  | Christopher J. Brokaw  | 45,620                  |
| Marc D. Foodman           | 34,110                  | David Lewis            | 33,101                  |
| Paul D. Sorkin            | 39,039                  | Michael J. Meehan      | 54,705                  |
| Arien C. Ferrell          | 46,696                  | Paul A. Durdik         | 37,819                  |
| Joseph A. Twarowski       | 42,191                  | Kirk D. Wong           | 43,284                  |
| of SUN MICROSYSTEMS, INC. |                         | of HICKMAN PALERMO     |                         |

P9143

TRUONG & BECKER, LLP

|  |  |
|--|--|
| Send Correspondence to:<br>Hickman Palermo Truong & Becker, LLP<br>1600 Willow Street<br>San Jose, California 95125-5106 | Telephone: (408) 414-1080<br>Facsimile: (408) 414-1076<br>E-mail: btruong@hptb-law.com |
|--|--|

CERTIFICATION UNDER 37 C.F.R. 3.73(b)

I, the undersigned, certify that I am an individual empowered to act on behalf of Sun Microsystems, Inc., a corporation, the assignee of the entire right, title and interest of the above-captioned patent by virtue of an assignment from the inventor(s) of said application.

I further certify that I have reviewed all the documents in the chain of title of the patent identified above, that the Assignment was filed for recordation in the U.S. Patent and Trademark Office on September 15, 2003, (copy attached), that I have reviewed the filed Assignment, and that to the best of my knowledge and belief title is in the above-noted assignee.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 or Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Sun Microsystems, Inc.

Dated: 3/22/04

By: \_\_\_\_\_

Arien C. Ferrell

Title: Senior Patent Counsel

# COPY

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: SUN-P9143

|  |          |                                   |              |  |                     |
|--|----------|-----------------------------------|--------------|--|---------------------|
| I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Mail Stop Assignments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date of deposit. |          |                                   |              |  |                     |
| Date of Deposit:   | 09/15/03 | Name of Person Making the Deposit | Anthony Chou | Signature of the Person Making the Deposit | <i>Anthony Chou</i> |

Mail Stop Assignments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please record the attached original document(s) or copy(ies) thereof:

### Transmittal of an Assignment

1. Name of conveying party(ies): Kenneth W. Shirriff, Alexander G. Vul and Michael B. Goff

Serial No.:

Filed: 09/15/03

Group Art Unit:

Examiner:

2. Name and Address of Receiving party(ies) SUN MICROSYSTEMS, INC.  
4150 Network Circle, Santa Clara, CA 95054
3. Nature of Conveyance: Assignment  
Execution Date: 09/15/03, 09/15/03 and 09/15/03
4. New Patent Application entitled: METHOD AND SYSTEM FOR EVENT NOTIFICATION
5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Joel D. Youngs  
Address: Wagner, Murabito & Hao LLP  
Two North Market Street, Third Floor, San Jose, CA 95113  
Phone: (408) 938-9060
6. Total Number of applications and patents involved: ONE
7. Fee Calculation (for other than a small entity)  
Assignment Recordation Fee, per property 1 X \$40.00  
**Total Fees (37 CFR 3.41)** \$40.00  
x The amount of \$ 40.00 is enclosed
8. At any time during the pendency of this application, please charge any additional fees required or credit any overpayments to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.

### 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

ORIGINAL SIGNED BY

*JDY*

Name Joel D. Youngs  
Reg. No. 52,389

Date: 09/15/03

**COPY**Attorney Docket No.: SUN-P9143**Assignment to Sun Microsystems, Inc.**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Kenneth W. Shirriff

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 4150 Network Circle, Santa Clara, CA 95054, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

**METHOD AND SYSTEM FOR EVENT NOTIFICATION**

X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No.: \_\_\_\_\_ filed on \_\_\_\_\_ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of Sun, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Sun, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Sun.

I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: \_\_\_\_\_

Date: Sept 15, 2003

**COPY**Attorney Docket No.: SUN-P9143**Assignment to Sun Microsystems, Inc.**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged,  
I/we

Alexander G. Vul

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 4150 Network Circle, Santa Clara, CA 95054, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

**METHOD AND SYSTEM FOR EVENT NOTIFICATION**

X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No.: \_\_\_\_\_ filed on \_\_\_\_\_ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of Sun, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Sun, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Sun.

I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: \_\_\_\_\_

Date: 9.15.03

Attorney Docket No.: SUN-P9143**Assignment to Sun Microsystems, Inc.**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged,  
I/we

Michael B. Goff

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 4150 Network Circle, Santa Clara, CA 95054, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

**METHOD AND SYSTEM FOR EVENT NOTIFICATION**

☒ filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No.: \_\_\_\_\_ filed on \_\_\_\_\_ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of Sun, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Sun, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Sun.

I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: \_\_\_\_\_

*Michael B. Goff*

Date: 9/15/2003